

Annexure 1. ATREE Western Ghats RIT - Performance Reporting By Component

Component 1: Regional Implementation Team established that not only realizes the goals of the CEPF, but also adds value to the objectives of CEPF by coordination, networking, provision of intellectual inputs to the design and implementation of projects, monitoring at the global, portfolio and project levels and sustaining and expanding the whole effort after the grant period.			
	Product / Deliverable	Planned	Results
	1	Systems for management of the CEPF Grants programme established within ATREE under RIT to function effectively for delivering all outputs (2 through 9) within the scheduled project period	CEPF grants management database updated regularly via GEM server.
	2	Project level monitoring system implemented to ensure grantee compliance with CEPF requirements including provision of data to meet global monitoring requirements	Submitted all Small Grant project final reports to CEPF. Final Completion report on RIT performance submitted.
	3	\$5.3 million USD awarded in core and small grants by the end of the project period	CEPF grants management database updated regularly via GEM server. Final Completion report submitted to CEPF detailing number and type of grants awarded, total amount granted and total amount spent.
	4	RIT reporting requirements fulfilled including: a) quarterly financial reports, semestral performance reports (b) preparation and follow-up to semi-annual supervisory missions (c) small grants program quarterly summary reports (d) annual report on global indicators	Submitted quarterly financial reports and half-yearly semestral reports, annual report on global indicators. Helped in preparation and follow-up to semi-annual supervisory missions.
	5	Mid-term, five-year and final assessments of impacts of CEPF investment in the Western Ghats facilitated, with the participation of grantees and government stakeholders	Followed up on assessment of impacts of CEPF investment in the Western Ghats.
	6	Relevant government departments at district, state and central levels regularly updated on progress and results of CEPF investment program	Relevant government departments at district, state and central levels updated on progress and results of CEPF investment program
	7	All grants screened against social and environmental safeguards prior to contracting, and necessary measures to comply with safeguards integrated into project design and monitored	Final completion reports of all small grantees reviewed to ensure compliance with safeguard policies and uploaded to CEPF server.
Component 2:			

New information generated on threatened species, especially lesser known taxa and habitats for conservation planning, and covering conceptual niches that are generic to entire Western Ghats.

	Product / Deliverable	Planned	Results
	1	At least 10 freely accessible publications disseminate new information on lesser known taxa and habitats	Manuscripts and peer-reviewed publications submitted by grantees uploaded to GEM. Final completion report submitted to CEPF by RIT detailing number of freely accessible publications disseminate new information on lesser known taxa and habitats.
	2	At least 5 grants successfully generate new information on lesser known taxa and habitats	Submitted semestral performance report to CEPF. Final completion report submitted to CEPF by RIT detailing number of grants that successfully generate new information on lesser known taxa and habitats.
	3	Global threat assessments of at least 1,000 species incorporated onto the IUCN Red List	Relevant species information compiled and sent to IUCN by respective grantees

Component 3:

Partnerships promoted between local communities, NGOS and/or private landowners for monitoring and conservation of globally threatened species in key biodiversity areas and for improving landscape connectivity by enhancing the biodiversity values of private lands neighboring priority sites in each of the five corridors

	Product / Deliverable	Planned	Results
	1	Partnerships between multiple sectors for strengthened biodiversity monitoring and management developed in all five corridors	Final Completion report submitted to CEPF by RIT detailing number of grants made, total amount granted and total amount spent
	2	Biodiversity friendly practices adopted over at least 50,000 hectares of production landscapes	Final Completion report submitted to CEPF by RIT detailing project interventions in production landscapes
	3	Scientifically rigorous monitoring involving local stakeholders established for at least 15 priority sites	Final Completion report submitted to CEPF by RIT evaluating success of project interventions on implementation of scientific monitoring
	4	At least 100,000 hectares within priority sites and critical links incorporated within the protected area network through expansion and designation of protected areas	Final Completion report submitted to CEPF by RIT detailing hectares incorporated within the protected area network through expansion and designation of protected areas via grantees

Component 4:

Pilot models of community and private reserves initiated

	Product / Deliverable	Planned	Results
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	1	Innovative models of community and/or private reserves piloted in all five corridors	Final Completion report submitted to CEPF by RIT detailing number of grants made, total amount granted and total amount spent.
	2	Models of community and private reserves piloted under CEPF grants adopted by at least one State Forest Department	Followed up communications with and reporting to State Forest Departments. Record of FD permits granted for successful proposals maintained. Research results, research-based management recommendations & reports of conservation action shared to integrate these into formal management planning by the concerned State Governments
	3	Conservation reserves covering at least 50,000 hectares formally designated in the priority corridors	Final Completion report submitted to CEPF by RIT detailing conservation reserves formally designated in the priority corridors
	4	Community forest reserves covering at least 50,000 hectares notified under the Forest Rights Act	Final Completion report submitted to CEPF by RIT detailing community reserves notified under the Forest Rights Act

Component 5:

Protected area management improved for conservation of globally threatened species in the priority corridors

	Product / Deliverable	Planned	Results
	1	Civil society-government partnerships for strengthened management of protected areas facilitated for at least 10 protected areas	Final Completion report submitted to CEPF by RIT detailing number of grants made, total amount granted and total amount spent
	2	Models of improved protected area management piloted under CEPF grants adopted by at least one State Forest Department	Follow up communications with and reporting to State Forest Departments. Research results, research-based management recommendations & reports of conservation action shared to integrate these into formal management planning by the concerned State Governments. Record of FD permits granted for successful proposals maintained.
	3	At least 200,000 hectares within protected areas with strengthened protection and management	Final Completion report submitted to CEPF by RIT detailing number of hectares within protected areas with strengthened protection and management

Component 6:

Participatory and scientific monitoring programmes established for targeted globally threatened species, critical links and key sites in each landscape corridor.

	Product / Deliverable	Planned	Results
	1	Participatory and scientific monitoring programmes established for at least 10 globally threatened species and at least 10 priority sites	Final Completion report submitted to CEPF by RIT detailing number of grants made, total amount granted and total amount spent
	2	Models of participatory and scientific monitoring piloted under CEPF grants adopted by at least one State Forest Department	Final Completion Report details results regarding models of participatory and scientific monitoring piloted under CEPF grants adopted by State Forest Department. Followed up communications with and reporting to State Forest Departments. Research results, research-based management recommendations & reports of conservation action shared to integrate these into formal management planning by the concerned State Governments. Record of FD permits granted for successful proposals maintained.

Component 7:

Management and species recovery plans developed for targeted globally threatened species that synergizes CEPF investment with existing and proposed conservation initiatives.

	Product / Deliverable	Planned	Results
	1	Management and species recovery plans developed for at least 10 globally threatened species.	Final Completion report submitted to CEPF by RIT detailing number of grants made, total amount granted and total amount spent.

Component 8:

Latest data, knowledge, tools and techniques effectively disseminated amongst Western Ghats stakeholders to enable efficiency in implementation of CEPF Regional Investment Strategy

	Product / Deliverable	Planned	Results
	1	A dynamic web-based data, information and communication portal for the Western Ghats that links all projects and partnerships launched, with contributions from at least 15 civil society organizations in the Western Ghats	Followed up on assessment of impacts of CEPF investment in the Western Ghats.

Component 9:

Civil society involvement in conservation of globally threatened species and key biodiversity areas increased by the end of the project period.

	Product / Deliverable	Planned	Results
	1	At least 10 civil society organizations at the regional level, and at least 2 civil society organizations in each corridor, engaged in enhancing connectivity and conservation of threatened species and sites under CEPF.	Final Completion report submitted to CEPF by RIT detailing total number of civil society organizations supported. Database compiled of number of civil society organizations supported, successfully completed projects and new partnerships formed.
	2	At least 10 new conservation partnerships involving civil society organizations established throughout the region.	Final completion report submitted to CEPF by RIT detailing total number of civil society organizations supported. Database compiled of number of civil society organizations supported, successfully completed projects and new partnerships formed. Submitted semestral performance report to CEPF. Followed up on assessment of impacts of CEPF investment in the Western Ghats.