Social Assessment

15 May 2023

CEPF Grant 113639

Fauna & Flora International

Engaging local stakeholders to conserve the Tonkin snub-nosed monkey

Vietnam, Indo-Burma Hotspot

Grant Summary

1. **Grantee organization:**

Fauna & Flora International

2. Project title:

Engaging local stakeholders to conserve the Tonkin snub-nosed monkey

- 3. **Grant number:** CEPF-113639
- 4. **Grant amount (US dollars):** 110,000
- 5. **Proposed dates of grant:** September 1st 2023 to August 31st 2025
- 6. **Countries where activities will be undertaken:** Vietnam

7. **Summary of the project:**

The Tonkin snub-nosed monkey (Rhinopithecus avunculus) is listed as Critically Endangered on the IUCN Red List, owing to its very high threat of extinction, and in 2018 was listed among the World's 25 Most Endangered Primates. Over the last several decades, the species has undergone a dramatic reduction in its population size and geographical extent, with probable extinctions of important populations occurring as recently as the last 10-15 years (in Cham Chu Nature Reserve), although population surveys in this key landscape have not occurred for 15 years. This is primarily due to the loss and fragmentation of its habitat, reductions in habitat quality, and hunting of the species for meat and traditional medicine. Today, the species is known to occur definitively in only two sites, both in Ha Giang Province in far northern Vietnam.

The most important remaining stronghold for the species, holding about 80% of the global population, is the Tonkin Snub-nosed Monkey Species and Habitat Conservation Area at Khau Ca (Khau Ca SHCA) – which is now part of the newly gazetted (operationalized) Du Gia - Dong Van National Park. Tonkin snub-nosed monkeys were discovered at this site by Fauna & Flora (FFI) in 2002 and have subsequently been the focus of two decades of sustained conservation action for the species. Over this time, key achievements include the establishment of the 2,026ha Khau Ca SHCA in 2009; the long-term maintenance of a community-based conservation team (6 members) to reduce threats and foster local support for the species, support research on the basic ecology and behavior of the species (conducted by FFI and Dr Le Khac Quyet, now FFI staff), and to support the production of an updated Species Conservation Action Plan (2022-2030). The results of this work are that the population appears to have experienced a promising recovery, from around 60 individuals in 2002 to an estimated 120-160 individuals in the most recent FFI survey in 2019 and updated data in 2021. Despite this hopeful trend, significant challenges remain.

Khau Ca SHCA was subsumed into Du Gia - Dong Van Karst Plateau National Park in 2015, as a southern extension linked by a small corridor of degraded forest (through the Din Dam area), although the National Park was not fully operational and Khau Ca SHCA remained firmly under the direct management of Ha Giang Forest Protection Department (FPD). On paper, the new park brings with it additional government investment, a ranger force, and the attention of a management board. In practice, the increased investments have not yet materialized

(the Management Board of Du Gia - Dong Van National was established in 2021 with only 7 staff), and Fauna & Flora's community-based conservation team remains at the very front-line of protection and monitoring efforts in this Tonkin snub-nosed monkey stronghold. We currently support a team of 6 members, who carry out 15 days of patrolling per month using Spatial Monitoring and Reporting Tool (SMART), with patrols planned informed by recent SMART data, by the FPD in cooperation with Fauna & Flora staff. The teams are working to the best of their ability in the face of ongoing threats to the forest and to the monkeys. Primate trade continues in Vietnam, including in PAs. Although illegal, hunting with guns remains a traditional practice in and around Khau Ca SHCA. Illegal logging of high-value timber and clearance for agriculture also continues within the Khau Ca forest, as evidenced by recent SMART records generated by the community conservation teams. The ever-present threat to Khau Ca from larger-scale, highly organized illegal loggers also exists. Given all this, it is now vital to step up the protection afforded by the community conservation teams in Khau Ca SHCA, with greater professionalization, training, and oversight.

The other known population of Tonkin snub-nosed monkey is in the Tung Vai forest of Quan Ba District, Ha Giang Province (its habitat is around 3,000 hectares within the 5,000 hectares of protection forest), which is currently designated as a watershed protection forest. This small population was only discovered by Fauna & Flora as recently as 2007 and, since then, Fauna & Flora has been working to bolster and recover the species in this vital site. In particular, with very limited legal protections in place, Fauna & Flora is supporting a strong community conservation team with 9 members, who carry out 15 days of SMART patrolling per month. As with the Khau Ca SHCA, the Tung Vai patrol groups work part-time and patrol routes are planned by the Quan Ba FPD in cooperation with Fauna & Flora. Fauna & Flora has also been supporting the gazettement process for a new PA in this forest. This process is underway and will, when finalized, result in formal protection for the Tonkin snub-nosed monkey, as well as an allocated budget from the government. In the meantime, the community conservation team is acting as a 'last line of defense' for this population, and urgently needs greater support, training and oversight.

Fauna & Flora surveys of the Tung Vai population have shown a worrying trend since its discovery, with approximately 30-40 individuals estimated in 2010 and 2013, but only 15-20 individuals confirmed in 2016. The most recent survey in 2020 failed to find the species during the formal survey period, although community conservation team members later reported observations. It is likely that the monkeys are becoming more cryptic and harder to find due to human disturbance and/or their population is decreasing. A better, more systematic monitoring of this population is urgently needed. Threats to this population remain exceptionally high. Opportunistic hunting to supplement incomes is widespread in -and-around the Tung Vai forest, and enforcement of gun laws is all-but-non-existent. Moreover, the hunting (potentially including monkeys, although this has not been recorded) is inextricably linked to cardamom cultivation in the understory of the forest, as this activity is the principal reason for people to be present in the forest. Despite the ostensive protection of Tung Vai forest as an important watershed, agriculture has expanded unchecked in recent years, which has led to human disturbance, hunting and declines in habitat quality for the Tonkin snubnosed monkey.

The global population of the species thus currently stands at less than 200 individuals, fragmented into two known populations, only one of which appears stable. Over the long-term, this is a very precarious state for the species. Since 2017/18, Fauna & Flora has been focusing on habitat connectivity for this species and have worked to retain a viable corridor of habitat linking its habitat in Khau Ca to the much larger Du Gia - Dong Van Nature Reserve (now National Park). Work has centered on a small, but crucial, connecting area of forest.

Fauna & Flora is working with local communities, authorities, and rangers to maintain this key forest link, though community-based protection of the forest, and tree-planting.

Along with the development of Khau Ca SHCA, from 2009-2010, Fauna & Flora has been facilitating the collaborative model of PA management at Khau Ca through a Management Advisory Committee (MAC). Members of the committee are representatives of local authorities and ethnic minority communities in Tung Ba, Minh Son, and Yen Dinh communes. This model has been most successful in facilitating an increased engagement and active role of local authorities in supporting livelihood development interventions and also law enforcement. Under this grant, the project intends to strengthen role the communities in managing the PA. This project is designed to shift our focus in Ha Giang and thus to help create a more sustainable, locally-led conservation environment, through; (1) Higher capacity, more engaged and systematic Community Conservation Teams, with a clearer focus on species monitoring; (2) Continuing on from successful work, the project will support, encourage and incentivize communities in forest recovery and management as part of on-going habitat corridor maintenance and restoration around Khau Ca.

The Tonkin snub-nosed monkey, as well as its forest home, remain under threat in the last two sites known for the species. Despite significant conservation gains and successes over the last 20 years, some threats persist, and there are - crucially - new threats and new opportunities posed by the operationalizing of the new National Park (which Fauna & Flora has long supported and advocated for), which has subsumed Khau Ca. The threat from opportunistic gun hunting is ever-present, and recent intensive logging of high-value timber by criminal gangs in other nearby forests are a stark reminder of what can happen in the absence of forest monitoring. Covid-19, and the complex relationship this has with cardamom value (and other commodities) and cross-border trade, has been a key driver of an upswing in forest exploitation, and a further reminder that context and threats are ever changing, and again, the need for vigilance (monitoring). Fauna & Flora has supported community-based patrol teams as the bedrock of their conservation interventions for the species, and threats have been measurably reduced as evidenced by recent SMART records. However, we also know there are ample opportunities to make the work of the community teams more effective, through greater professionalization and by providing them with full-time support from Fauna & Flora staff on the ground. Without this project, and against the backdrop of changing or emerging threats, there is a real chance that previous successes will be eroded, and that the Tung Vai population might disappear altogether. It remains very difficult to raise funds for this globally important, albeit CR and endemic, primate, and without CEPF support, Fauna & Flora and counterparts, will be unable to facilitate local empowerment in conservation now, when (and where) it is most needed.

Goal: The overall goal of the project is that the Tonkin snub-nosed monkey (CR) populations in Ha Giang are measurably improved and more sustainably protected via an increase in the power and engagement of local communities in conservation.

Objective 1: By project end, a newly established, systematic approach to patrolling and monitoring is operational in the last two known populations of the species (Khau Ca SHCA and Tung Vai forest), using the latest developments in smartphone-based data collection and with oversight from local Fauna & Flora staff.

Expected outputs:

 $Output\ 1.1.$ Comprehensive framework and data model for patrolling and monitoring (2 sites): The project will produce a systematic grid-based monitoring/implementation system and plan, data models and databases.

Output 1.2. Capacity of the old Community Conservation Team (CCT)/new Species Monitoring Team (SMT) members assessed, and built accordingly, through training sessions and supported learning, to be ready for the implementation of activity 1.3.

Output 1.3. Standard Operating Procedures (SOP) and field manual for monitoring teams: Revise/produce new Terms of References for monitoring team members, produce training manuals, SOP guidelines, monthly patrol plans, SMART/Mergin (Input) Maps data reports, 'heatmaps' of patrol effort coverage, and occupancy maps of snub-nosed monkey distribution. Output 1.4. The model(s) developed for Ha Giang sites will also support reciprocal, iterative learning and improvement with similar patrol/monitoring models now under piloting in Cao Bang (Cao vit gibbon), Ha Nam (Delacour's langur), and Pu Mat NP (northern white-cheeked gibbon) and will support potential replication in new sites.

Output 1.5. Community safeguards and grievance redress reviewed, maintained, and updated for both sites, as required. Safeguard/GRM/Social Impact Assessment reports made available (and data included in project MEL).

Output 1.6. An increase in the percentage of the community monitoring team's salary and operational costs covered by PFES, or 'Payment for Forest Ecosystem Services', in the Vietnam context, towards greater local ownership, sustainability, and INGO exit from the landscape.

Expected activities:

Activity 1.1. Develop a systematic forest patrol framework and implementation plan for Khau Ca SHCA and Tung Vai forest: Fauna & Flora will instigate a full review of community patrolling efforts to date, including successes/failures. These learnings, along with Fauna & Flora's recent successes working in other sites, will then be used to develop with the respective FPD units a comprehensive and scientific framework for patrolling that defines objectives, responsibilities, required oversight, indicators and reporting milestones.

Activity 1.2. Training needs assessments, training (and re-training for existing patrol personnel) for community monitoring teams and PA staff/rangers and the provision on-sight oversight technical support – through the hiring of a new community-patrol team coordinator, provided. These are in addition to existing, remote support from Fauna & Flora's CCT/SMART Manager and also new Protected Area Law Enforcement Advisor (currently onboarding), both based in Hanoi.

Activity 1.3. Establish Species Monitoring Teams in both sites: As an evolution of the existing community patrol teams, Fauna & Flora will establish Species Monitoring Teams in Khau Ca SHCA and Tung Vai forest, with collaborative development of new manuals, ToRs and SOPs, to put into practice the agreed monitoring frameworks. Fauna & Flora will carefully consult with Denver Zoo, which is providing limited support to a research assistance team of 4 local people at Khau Ca, to avoid overlap and add value. Dr. Quyet works with both teams.

Activity 1.4. Annual SMART/Input data reports and annual Monitoring, Evaluation and Learning (MEL) reports developed and shared with other provincial government counterparts and monitoring teams at other Fauna & Flora sites, along with central FPD and the Department of Protected Areas (under the Vietnam Administration of Forestry), and combined with a study tour for at least 4 monitoring team members to visit other Fauna & Flora sites and learn from teams there.

Activity 1.5. Community safeguards and grievance redress reviewed and/or developed in line with the new patrol and monitoring teams, and the ongoing PA gazettement process in Tung Vai: Fauna & Flora has already supported development of safeguards and active, continuing Grievance Redress Mechanisms in both sites, but all will be reviewed again, with and by local community members, as well as FPD rangers and the PA Management Board, and any risks, concerns and required changes or updates agreed upon and actioned. FPIC will be used before (and as part of) all project design steps, and prior to the implementation of key project milestones. The project will also undertake social impact assessments (start, middle and project end), as part of safeguard reporting and MEL.

Activity 1.6. Building on past success, and strong relationships with government counterparts, consult with FPD-DARD, and advocate with provincial authorities/decision makers, to increase the proportion of community-based forest/monkey monitoring costs that is covered by the state (PFES) to 50%.

Activity 1.7. Fauna & Flora will facilitate a study tour to Pu Mat National Park to exchange lessons learned in best practices of community-based monitoring and species protection with the Save Vietnam's Wildlife and Fauna & Flora project teams in Pu Mat.

Objective 2: Continuing with existing and successful interventions, which support, encourage, and incentivize the local communities in forest recovery and management, such that by project end, there has been a maintaining or increasing of habitat connectivity and available habitat for Tonkin snub-nosed monkey at Khau Ca (Du Gia - Dong Van National Park) and Tung Vai.

Expected outputs:

Output 2.1. At Khau Ca: Through land use planning for five local households living inside Du Gia - Dong Van National Park, in Din Dam, and assistance with forest regeneration and augmented where appropriate by enrichment (native trees) planting, in degraded areas (between Khau Ca and Du Gia), the project will improve the availability and security of Tonkin snub-nosed habitat.

Output 2.2. At Khau Ca: Official and legal forest management contracts signed between the five households and Du Gia - Dong Van National Park.

Output 2.3. At Tung Vai: The project will (continue to) reduce the scale and impact of cardamom production in Tung Vai through more sustainable land use (zoning and land sparing), in the short terms (by end PY1), and through gazettement of the new Protected Area, in the longer term (by project end).

Output 2.4. At Tung Vai: A program of sustainable cardamom production, co-developed and supported, as a way to maintain community (forest) benefits and to reduce threats to the Tonkin snub-nosed habitat.

Expected activities:

Activity 2.1. Undertake a specific Free, Prior, and Informed Consent (FPIC) process with the 5 Hmong households in the Din Dam Area.

Activity 2.2. Continuing to engage five local households in Din Dam area, Fauna & Flora will support and facilitatea participatory land use mapping and planning process; and provide seedlings and technical assistance for conducting Assisted Natural Regeneration (ANR) and tree planting.

Activity 2.3. Facilitate a process and assist with recording meeting minutes and drafting agreements/contracts to secure the right of local households in Dim Dam to legally manage the 'corridor' area through signed forest protection/management contracts with Du Gia - Dong Van National Park.

Activity 2.4. Through a combination of local, participatory land use planning, and ongoing protected area advocacy with the authorities, work towards gazettement and associated zoning of the new Special Use Forest (PA) by the end of the project.

Activity 2.5. Building on Fauna & Flora's previous work here, the project will support, encourage and incentivize local communities to reduce the area of cardamom under cultivation in Tung Vai, and especially to return the 'core' area to intact forest, through land use planning, skills training, alternative seedling provision (fruit trees), awareness/consultation and livelihood support. Fauna & Flora will provide direct labor payments for adult family members for tree planting and habitat restoration in the corridor. Activity 2.6. For the 5 households in the corridor, Fauna & Flora will develop a small revolving fund with an initial investment of USD 3000 for micro credits to support livelihood

interventions. Fauna & Flora will leverage at least an additional USD 3000 from a co-financing donor. Demonstrating the effectiveness of the microfinance facility will also enable us to leverage additional impact investment in the future. Fauna & Flora will provide training and capacity building for the management of microfinance.

Activity 2.7. Fauna & Flora will provide training and capacity building, working especially with local women, for raising livestock (e.g., local chickens) including veterinary support and providing materials, such as chicken coops and veterinary supplies.

The project will ensure that protected area management and the government program for forest protection contracts and payments for forest ecosystem services (PFES) are better aligned. In particular, Fauna & Flora will facilitate the management board to allocate forest protection contracts to the 5 local, indigenous Hmong households concerned, to receive PFES as an incentive to forego access and protect forest in the wildlife corridor between Snub -nosed monkey habitat in Khau Kha and the larger Du Gia – Dong Van National Park. Since Khau Kha Species and Habitat Conservation Area has been integrated into Du Gia – Dong Van National Park, Fauna & Flora will provide training and support to the National Park management unit for METT assessment and reporting. Fauna & Flora will introduce the management board to IUCN green-listing and encourage them to move towards becoming a candidate. The management board has currently very few human resources and limited finances. However, analyzing the gaps will enable the management board to lobby the provincial people's committee for a higher allocation and funds.

8. Date of preparation of this document.

15 May 2023

9. <u>Indigenous People affected:</u> This section will describe the Indigenous People in the project area.

Fauna & Flora has been working for a long time with 7 villages close to, and with high impacts on, the Khau Ca SHCA. This includes Hong Minh and Khuon Pha villages of Tung Ba commune, including five Hmong households; Khuoi Loa and Phia Deng villages of Minh Son commune; Na Loa, Lung Tam and Ban Bo villages of Yen Dinh commune. The people who have the most significant impact on the Khau Ca SHCA are approximately 350 H'mong ethnic minority villagers living in the immediate vicinity of the protected area in the villages of Phia Deng and Khuoi Loa of Minh Son commune, and five Hmong households in the Din Dam area of Tung Ba commune.

In terms of household wealth, based on the criteria of household ranking of government (Directive of the Prime Minister No. 1752/CT-TTG dated 21.9.2010 for the period 2011 – 2015), there are no advanced or rich households in these villages. Most of them are average households (60%), 20% are poor households, and 20% are close-poor households [data from Ha Giang PPC 2022]. However, the number of poor households in Minh Son commune are considered higher than in other communes and especially all five Hmong households living in Din Dam are ranked as very poor. This suggests more intensive local capacity building and livelihood development interventions should be focused on these villages, and a need to support these five Hmong households.

In Quan Ba, key villages which rely on forest resources and impact Tonkin snub-nosed monkey habitats include Ban Thang which is now comprised of 132 households of mainly Tay and Nung ethnic minorities, and Tung Vai Phin, comprised of 109 households of predominantly H'Mong ethnic minorities. In these two key target villages, poverty levels are high, with the proportion of poor households being 37%. Cao Ma Po, Ta Van and Tung Vai are recorded as three communes with the largest areas of cardamom in Quan Ba District. These communes

- account for 60% (1,257 ha) of the total area (2,126 ha) in Quan Ba District under production (Quan Ba DARD, 2015).
- 10. **Potential impacts**: This section will assess expected project impacts (both positive and adverse) on Indigenous People.
- Activity 1.1. Develop a systematic forest patrol framework and implementation plan for Khau Ca SHCA and Tung Vai forest: This activity will create positive impacts in building capacity for CCT members and rangers in forest patrolling and species monitoring. It will also help better understanding of TSNM ecology and its habitat, at the same time improving protection of forest habitat.
- Activity 1.2. Training needs assessments, training (and re-training for existing patrol personnel) for community monitoring teams and PA staff/rangers: This activity will create positive impacts in improving conservation capacity for CCT members and rangers.
- Activity 1.3. Establish Species Monitoring Teams in both sites: This activity may create negative impacts on those people currently accessing forest resources as more intensive patrolling is taking place.
- Activity 1.4. Annual SMART/Input data reports and annual Monitoring, Evaluation and Learning (MEL) reports developed and shared: This activity will create positive impacts on species monitoring efforts and adaptive management for the protected area.
- Activity 1.5. Community safeguards and grievance redress reviewed and/or developed: This activity will create positive impacts on local communities as they are fully engaged in project planning, implementing and monitoring.
- Activity 1.6. Building on past success, and strong relationships with government counterparts, consult with FPD-DARD, and advocate with provincial authorities / decision makers, to increase the proportion of community-based forest / monkey monitoring costs that is covered by the state (PFES) to 50%: This activity will create positive impacts on the sustainable management of protected area.
- Activity 1.7. FFI will facilitate a study tour to Pu Mat National Park to exchange lessons learned in best practices of community-based monitoring and species protection with the Save Vietnam's Wildlife and FFI project teams in Pu Mat: this activity will create positive impacts on species conservation at both sites Ha Giang and Pu Mat.
- Activity 2.1. Undertake a specific Free, Prior, and Informed Consent (FPIC) process with the 5 Hmong households in the Din Dam Area: This activity will directly create positive impacts on the livelihoods of five Hmong households in Din Dam.
- Activities 2.2. & 2.3. Intention to engage five Hmong households in habitat restoration and management: These activities will create positive impacts on their livelihood.
- Activity 2.4. Through a combination of local, participatory land use planning, and ongoing protected area advocacy with the authorities, work toward gazettement and associated zoning of the new Special Use Forest (PA) by project end: this activity may create negative impacts on those people who are still deeply dependent on forest resources.

Activity 2.5. encourage and incentivize local communities to reduce the area of cardamom under cultivation in Tung Vai: this may create a negative impact on cardamom farmers' incomes in the short-term.

Activity 2.6. & 2.7. Support livelihood for the 5 households in Din Dam: This activity will create positive impacts on their livelihoods.

11. **Participatory preparation:** This section will describe the participation of affected communities during the project design process (i.e. prior to submission of the full proposal), and explain how Free, Prior and Informed Consent was obtained during project preparation or will be obtained prior to implementation of project activities affecting Indigenous Peoples.

Prior to submission of full proposal, Fauna & Flora staff visited 280 households in 9 villages of three communes Cao Ma Po, Tung Vai and Ta Van of Quan Ba district. The focal topic for discussion was their current cardamom cultivation activities and the potential negative impacts if Quan Ba protection forest were to become a special use forest. In general, the farmers supported the conversion of protection forest to special use forest and expected the project could provide technical support to sustainable cardamom cultivation with higher values (prize) and alternative livelihood options. Fauna & Flora staff also visited five Hmong households to better understand their demand on farmland. They confirmed their intention to engage in habitat restoration in degraded areas and long-term management of the forest.

The Provincial People's Committee was fully supportive of this project. DARD was given mandate to work with Fauna & Flora to update the technical proposal to upgrade Quan Ba protection forest to a special use forest.

12. <u>Mitigation measures</u>: This section will outline measures to avoid, minimize and mitigate adverse impacts and provide culturally appropriate benefits.

To avoid and/or mitigate negative impacts to local communities who are currently using forest land to cultivate cardamom, the project will provide technical support for supply chain analysis and market development of sustainable and high(er) value cardamom, to increase market access, price (value) and yields to make it more sustainable. For those farmers willing to leave the cardamom fields, the project will negotiate and work with them to identify and support alternative livelihood interventions e.g., supporting local green tea planting and fruit trees.

Improving forest patrol and species monitoring through CCTs represents a potentially involuntary restriction to access of natural resources through improved protection and exclusion of people from legally protected areas. All enforcement activities conducted by CCTs and rangers are however in line with national legislation and therefore represent a curtailing of activities which are illegal, unsustainable and destructive.

Working with local communities: most of local people in this region are still considered poor. However, whilst the forest is not central to local nutritional needs, it is clear that many households is still dependent on forest to cultivate cardamom as a main livelihood income. Recognizing the need of forest resources to support local livelihoods, the project will continue to engage local communities in three main ways:

1. Uphold community rights and safeguard wellbeing: The project will undertake a number of activities, and put in place systems, specifically designed to assess, pre-empt, avoid, mitigate or resolve any negative social impacts caused by the project and its activities.

People's rights will be explained to them during all consultations, and posters will be used to illustrate these and related laws. Free, Prior and Informed Consent will form the backbone of the project's engagement and design process, with all community members being given the opportunity to vote on project interventions being proposed. Moreover, and in line with the Process Framework, socio-economic assessments and KAB surveys will be used to define and monitor safeguards, and a conflict and complaint resolution system will be established.

- 2. Engage, co-create and empower: The project will continue with thorough and regular community engagement and consultation, through a mixture of formal meetings, informal discussions and processes or interventions outlined in the point above. The community will be facilitated and encouraged to co-create conservation actions and input to the design of conservation and livelihood development activities.
- 3. Sustainable livelihoods: the safeguards and associated process outlined above are designed to avoid and mitigate any negative impacts in terms of access to forest for cardamom planting. For this, the project will continue to work with local farmers and local authorities to identify alternative sustainable livelihood interventions such as sustainable cardamom cultivation, local green tea planting and fruit trees. Capacity building will be prioritized for five Hmong households living at Din Dam. The project will facilitate the process of forming a revolving funding scheme with the engagement of Women's Union to support women of these five households.
- 13. <u>Timeline and resources</u>: This section will present an implementation timeline for each measure listed in Section 12, together with an estimate of resource needs.

Prior to project implementation, the project will carefully consult with local people and local authorities about the potential impacts that project may create through the implementation of activities and consult with mitigation options.

Fauna & Flora has secured funding from other sources and commits at least US\$10,000 for supporting livelihood interventions for cardamom farmers, including sustainable cardamom cultivation support and alternative intervention support.

Fauna & Flora requests US\$3,000 from this grant to set up a micro-credit model for five Hmong households. At the same time Fauna & Flora also commit to top up another US\$3,000 into this development fund.

Capacity building through trainings on livestock grazing will be provided to farmers in both Ouan Ba and Khau Ca, and Fauna & Flora has in-house expertise to support this.

14. **Monitoring and evaluation:** This section will explain how compliance with Safeguard Policy 7 on Indigenous Peoples will be monitored and evaluated. Monitoring and evaluation methodologies should be adapted to the local context, indicators, and capacity.

The project will evaluate and adapt the mitigation measures put in place with thorough and regular community engagement and consultation, through a mixture of formal meetings, informal discussions and processes or interventions outlined in the points above. The community will be facilitated and encouraged to co-create conservation actions and input to the design of conservation and livelihood development activities. The feedback and changes will be collated to improve and share learning across projects.

15. **Disclosure**: CEPF requires that Social Assessments are disclosed to affected local communities and other stakeholders. Please describe your efforts to disclose this plan.

At each village, the project will share the plan, alongside the details of the grievance mechanism, with villagers through FPIC/awareness-raising meetings at the beginning of the project. If any changes needed or additional measures are considered, the project will revise the plan accordingly.